

# Principles of Internal Auditing



**Mail Address:**

**PT. Proesdeem Consulting Services**  
(Proesdeem Indonesia)  
47<sup>th</sup> Floor Wisma 46, Kota BNI  
Jl. Jenderal Sudirman Kav.1  
Jakarta 10220

**Telepon:**

+ 6221-574-8889

**Faximile:**

+ 6221-574-8888

**SMS/WA:**

+ 62-8815608163



2A94ABE9

**Email:**

[info@LPAL.co.id](mailto:info@LPAL.co.id)  
[lpai.indonesia@gmail.com](mailto:lpai.indonesia@gmail.com)

**website:**

[www.LPAL.co.id](http://www.LPAL.co.id)

**Tentang LPAl**

LPAl Lembaga Pengembangan Auditor Internal adalah lembaga yang concern terhadap pengembangan SDM bidang audit internal. Sebagai salah satu divisi training dari Proesdeem Indonesia — lembaga konsultan manajemen yang sejak 1995 memfokuskan kegiatannya pada pelatihan manajemen — LPAl menyelenggarakan pelatihan internal audit dan fraud audit secara lengkap, terprogram-berkesinambungan, serta kurikulum berkualitas. Pelatihan yang diselenggarakan oleh LPAl senantiasa dievaluasi dan diupdate — mengacu pada perkembangan pengetahuan dan praktek bisnis paling mutakhir — dimana benchmarknya adalah lembaga-lembaga internal audit dan fraud audit yang sudah dikenal baik reputasinya di dunia.

Selain itu program pelatihan yang diselenggarakan oleh LPAl didukung oleh tenaga instruktur berpengalaman, baik sebagai instruktur maupun sebagai auditor ataupun praktisi manajemen lainnya serta memiliki background pendidikan S2 dan Ph.D. dari dalam dan luar negeri. Sebagian besar instruktur LPAl adalah praktisi audit yang memiliki sertifikat keahlian atau profesi seperti CIA, CFE, CISA, dan sebagainya.

Untuk informasi lengkap mengenai program LPAl silahkan kunjungi [www.lpai.co.id](http://www.lpai.co.id) dan/atau [www.internalaudit.trainingcenter.co](http://www.internalaudit.trainingcenter.co)

**Web Service Links:**

[www.beproseminar.co](http://www.beproseminar.co)  
[www.careertrack.training](http://www.careertrack.training)  
[www.lpmisp.org](http://www.lpmisp.org)  
[www.TheITMP.com](http://www.TheITMP.com)  
[www.proesdeem.co.id](http://www.proesdeem.co.id)

In this three day seminar you will learn the concepts of traditional and operational auditing and proven tools and techniques for performing effective audits. You will gain a solid background in the basics of documenting and evaluating internal control/fieldwork techniques. Using specifically formulated case exercises, you will examine the critical elements of modern internal auditing: assessing risk, designing flexibility into the audit program, and applying audit results to solve business problems. You will come away from this session with the ability to perform more meaningful examinations, and to write clearer reports. No prior knowledge of auditing is required.

**Pelatihan untuk Anda:**

Financial, operational and IS auditors with less than two years of audit experience; quality assurance personnel; data security specialists

**Course Outline:**

1. **The Role of Internal Auditing**
  - internal auditing defined
  - the goals and objectives of internal auditing
  - what it takes to be a successful internal auditor
  - adapting to a changing environment
  - operational, financial, and compliance auditing: definitions and uses, similarities and differences
  - leading-edge trends
  - ethical responsibilities of the internal auditor
2. **Internal Auditing Standards**
  - purpose of the standards
  - general standards
  - specific standards
  - statements on internal auditing standards
3. **Modern Internal Auditing**
  - growth from operational and compliance auditing
  - the modern internal auditing process
  - assessing how the system is functioning
  - determining that risks and exposures are as minimal as perceived
  - evaluating test data for future impact
4. **Analyzing Internal Controls**
  - a look at COSO
  - elements of a system of internal control
  - control techniques
  - analyzing the control environment
5. **Risk Assessment**
  - planning memo
  - defining risk and risk assessment
  - risk assessment standards
  - identifying risks
  - risk assessment strategies, methodologies, factors, and trends
  - using key risk indicators
6. **Planning and Preliminary Fieldwork**
  - planning memo
  - objectives of the opening conference
  - conducting the preliminary survey
  - strategies and techniques for conducting the interview
  - 25 resources to use when planning your audits
  - developing an audit strategy
7. **Documenting Internal Controls**
  - cost/benefit considerations
  - documenting and analyzing internal control procedures
  - case studies
8. **Audit Programs**
  - characteristics and criteria
  - developing test objectives
  - revisions and changes during fieldwork
  - case study
9. **Fieldwork Techniques and Applications**
  - sampling methodologies
  - work paper techniques
  - effective time management
  - elements of audit evidence
  - quality assurance
  - maintaining the communications link during the audit
10. **Effective Communications Strategies**
  - developing effective audit findings
  - selling internal audit recommendations
  - fundamentals of audit report writing
  - successful report formats
  - preparing for closing conferences
  - following up corrective actions
  - strategies for improving communications
  - case study
11. **Information Systems Auditing**
  - what senior management and the board of directors expect
  - using technology to increase efficiency
  - integrating traditional IS audits into modern internal audits
  - understanding data flows
  - the impact of technology shifts on primary audit areas



7. **Documenting Internal Controls**
  - cost/benefit considerations
  - documenting and analyzing internal control procedures
  - case studies
8. **Audit Programs**
  - characteristics and criteria
  - developing test objectives
  - revisions and changes during fieldwork
  - case study
9. **Fieldwork Techniques and Applications**
  - sampling methodologies
  - work paper techniques
  - effective time management
  - elements of audit evidence
  - quality assurance
  - maintaining the communications link during the audit
10. **Effective Communications Strategies**
  - developing effective audit findings
  - selling internal audit recommendations
  - fundamentals of audit report writing
  - successful report formats
  - preparing for closing conferences
  - following up corrective actions
  - strategies for improving communications
  - case study
11. **Information Systems Auditing**
  - what senior management and the board of directors expect
  - using technology to increase efficiency
  - integrating traditional IS audits into modern internal audits
  - understanding data flows
  - the impact of technology shifts on primary audit areas

**Informasi Pelaksanaan:**

Jadwal Anda	Lokasi	
February	21-23, 2017	Bandung
April	11-13, 2017	Jakarta
June	05-07, 2017	Yogya
August	21-23, 2017	Jakarta
October	11-13, 2017	Bandung
December	05-07, 2017	Jakarta
Investasi/Peserta Rp 6.750.000,00 (tidak termasuk pajak dan akomodasi)		
Fasilitator: Team Instruktur LPAl		

**Lokasi & Ketentuan Pelaksanaan:**

**Jakarta:** 46<sup>th</sup>-50<sup>th</sup> Floor Wisma 46 – Jl. Jend Sudirman Kav.1– Jakarta Pusat, Sofyan Hotel Group, ASTON Hotel Group atau hotel lainnya;

**Bali:** B-Hotel atau hotel lainnya;

**Bandung:** KAGUM Hotel Group / ASTON Hotel Group atau hotel lainnya.

**Yogyakarta:** ASTON Hotel Groups atau hotel lainnya.

Perubahan lokasi/tempat akan dikonfirmasi melalui undangan seminar.

Jumlah peserta minimal (kecuali ditentukan lain) untuk pelaksanaan di Bali 9(sembilan)orang, Yogya 7(tujuh) orang, Bandung 5(lima) orang, Jakarta 3(tiga) orang sesuai konfirmasi yang kami terima seminggu sebelum pelaksanaan. Dalam hal jumlah peserta kurang dari ketentuan minimal tersebut maka keputusan pelaksanaannya dapat dibicarakan kedua belah pihak untuk mendapatkan solusi terbaik.

Bila Anda menginginkan judul training, jadwal dan lokasi yang berbeda dengan yang telah ditentukan di atas silahkan hubungi Service Center kami melalui Tel: 021-574-8889, Fax: 021-574-8888, HP/SMS/WA: 0881-5608-163 atau email: [info@LPAL.co.id](mailto:info@LPAL.co.id) / [lpai.indonesia@gmail.com](mailto:lpai.indonesia@gmail.com)